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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 204552029700

First Inventor Masatoshi OMOTO

Title LED DEVICE

Express Mail Label No. (labelno)

U.S. PTO
S-666669
D-39410
10/09/03**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>26</u>] <input type="checkbox"/></p> <p>- Descriptive title of the Invention</p> <p>- Cross Reference to Related Applications</p> <p>- Statement Regarding Fed sponsored R & D</p> <p>- Reference to sequence listing, a table, or a computer program listing appendix</p> <p>- Background of the Invention</p> <p>- Brief Summary of the Invention</p> <p>- Brief Description of the Drawings (if filed)</p> <p>- Detailed Description</p> <p>- Claim(s)</p> <p>- Abstract of the Disclosure</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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ACCOMPANYING APPLICATION PARTS

<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & Assignment 3 pages)</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (where there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 and 4 references</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) Should be specifically itemized</p> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other</p>	<p><input type="checkbox"/> Power of Attorney</p> <p><input checked="" type="checkbox"/> Copies of IDS Citations</p>
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18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. *

Prior application information:

Examiner *

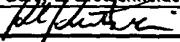
Group / Art Unit: (group)

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Labelor Correspondence address below

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Name				
Address				
City		State		Zip Code
Country		Telephone	(703) 760-7743	Fax
Name (Print/Type)	Barry E. Bretschneider		Registration No. (Attorney/Agent)	(regno) 28,055
Signature			September 23, 2003	

17667
09/23/03
U.S. PTO

PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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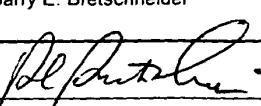
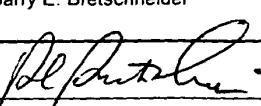
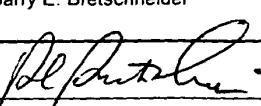
FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$1142.00

Complete if Known	
Application Number	NEW APPLICATION
Filing Date	September 23, 2003
First Named Inventor	Masatoshi OMOTO
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	204552029700

METHOD OF PAYMENT					FEE CALCULATION (continued)																																																																																																																																																																																																																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 03-1952</p> <p>Deposit Account Name Morrison & Foerster LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>					<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>Total Claims</td><td>24</td><td>- 20 =</td><td>4</td><td>x 18.00 = \$72.00</td><td>Petitions of the Commissioner</td><td></td></tr> <tr><td>Independent Claims</td><td>2</td><td>- 3 =</td><td>0</td><td>x = \$</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Multiple Dependent</td><td></td><td></td><td></td><td>= \$280.00</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>Large Fee Code (\$)</td><td>Entity Fee Code (\$)</td><td>Small Fee Code (\$)</td><td>Entity Fee (\$)</td><td>Fee Description</td><td>Recording each patent assignment per properties (times number of properties)</td><td>\$ 40.00</td></tr> <tr><td>1202</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1201</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1203</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claims, if not paid</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1204</td><td>84</td><td>209</td><td>42</td><td>"Reissue independent claims over original patent</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td>1205</td><td>18</td><td>210</td><td>9</td><td>"Reissue claims in excess of 20 and over original patent</td><td></td><td></td></tr> <tr> <td align="right" colspan="5">SUBTOTAL (1) (\$ 750.00)</td> <td align="right" colspan="3">SUBTOTAL (2) (\$ 352.00)</td> <td align="right" colspan="2">SUBTOTAL (3) \$ 40.00</td> </tr> <tr> <td align="center" colspan="5">** or number previously paid, if greater; For reissues, see above.</td> <td align="center" colspan="5">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td align="center" colspan="5">SUBMITTED BY</td> <td align="center" colspan="5">Complete (if applicable)</td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="3">Barry E. Bretschneider</td> <td>Registration No. (Attorney/Agent)</td> <td>28,055</td> <td>Telephone</td> <td colspan="3">(703) 760-7743</td> </tr> <tr> <td>Signature</td> <td colspan="3"></td> <td>Date</td> <td colspan="5">September 23, 2003</td> </tr> </tbody> </table>					Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	1051	130	205	65	Surcharge - late filing fee or oath		1052	50	227	25	Surcharge - late provisional filing fee or cover sheet		1053	130	139	130	Non-English specification		1812	2,520	147	2,520	For filing a request for ex parte reexamination		1804	920*	112	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		1251	110	215	55	Extension for reply within first month		1252	400	216	200	Extension for reply within second month		1253	920	217	460	Extension for reply within third month		1254	1,440	218	720	Extension for reply within fourth month		1255	1,960	228	980	Extension for reply within fifth month		1401	320	219	160	Notice of Appeal		1402	320	220	160	Filing a brief in support of an appeal		1403	280	221	140	Request for oral hearing		1451	1,510	138	1,510	Petition to institute a public use proceeding		1452	110	240	55	Petition to revive - unavoidable		1453	1,280	241	640	Petition to revive - unintentional		1501	1,280	242	640	Utility issue fee (or reissue)		1502	460	243	230	Design issue fee		1503	620	244	310	Plant issue fee		Total Claims	24	- 20 =	4	x 18.00 = \$72.00	Petitions of the Commissioner		Independent Claims	2	- 3 =	0	x = \$	Petitions related to provisional applications		Multiple Dependent				= \$280.00	Submission of Information Disclosure Stmt		Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Entity Fee (\$)	Fee Description	Recording each patent assignment per properties (times number of properties)	\$ 40.00	1202	18	203	9	Claims in excess of 20	Filing a submission after final rejection (37 CFR § 1.129(a))		1201	84	202	42	Independent claims in excess of 3	For each additional invention to be examined (37 CFR § 1.129(b))		1203	280	204	140	Multiple dependent claims, if not paid	Request for Continued Examination (RCE)		1204	84	209	42	"Reissue independent claims over original patent	Request for expedited examination of a design application		1205	18	210	9	"Reissue claims in excess of 20 and over original patent			SUBTOTAL (1) (\$ 750.00)					SUBTOTAL (2) (\$ 352.00)			SUBTOTAL (3) \$ 40.00		** or number previously paid, if greater; For reissues, see above.					*Reduced by Basic Filing Fee Paid					SUBMITTED BY					Complete (if applicable)					Name (Print/Type)	Barry E. 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